

Capital Markets Support

Regulatory Support Service

The Regulatory Support Service offers an alternative approach to ongoing regulatory and compliance advice and is designed to help issuers meet the recurring regulatory obligations set out in the Aquis Growth Market Rulebooks. Issuers opting to use this service will still be required to appoint an Aquis Corporate Adviser for any significant transactions or corporate events (in accordance with Rule 2.6) but will no longer be required to retain a Corporate Adviser on an ongoing basis.

Issuers will receive regulatory advice and support provided by an Aquis partner law firm.

Support will include:

- ✓ Advice on the Aquis Growth Market rules and wider regulatory obligations applicable to the issuer
- ✓ Review of regulatory news announcements to support compliance and expectations of the market
- ✓ Liaising with Aquis Regulation regarding requests to suspend, restore, withdraw, or admit new securities
- ✓ Focused guidance and advice on director responsibilities, disclosure and transparency rules and market abuse regulations

A Recipe for Success



**Regulatory
Support**



**Investor
Relations Strategy**



**Strong Public
Markets Presence**

Issuers are encouraged to develop a dedicated investor relations and commercial strategy alongside this package, whether in-house or through specialist third-party providers. Aquis has an extensive Capital Markets Support programme which includes services designed specifically for Growth Market companies, helping improve visibility, research coverage and investor engagement. Alternatively, issuers may choose to appoint a broker or IR firm with a particular sector or investor focus.

To be eligible to receive this service, issuers must have a clean compliance record.

Contact us today for more information

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Aquis Stock Exchange

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